

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: **PERSON**Serial No.: **Not Yet Assigned**Filing Date: **August 20, 2001**Examiner: **Not Yet Assigned**Title: **Creation of a Unique Sequence File**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service via Express Mail No ET157746910US with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date indicated below:

08.20.01

Date



C. Steven McDaniel

POWER OF ATTORNEY BY ASSIGNEE

Under the provisions of 37 C.F.R. §§ 3.71 and 3.73(b), the undersigned assignee of record of the entire interest in the above-identified patent/patent application by virtue of an assignment recorded (check as applicable):

- ☒ Concurrently herewith
☐ Date Recorded _____
☐ Reel _____ Frame _____

and/or

- ☐ By virtue of the documents attached hereto showing chain of title into Assignee,

elects to conduct the prosecution of the application/maintenance of the patent to the exclusion of the inventor(s). The undersigned hereby declares that he has reviewed the above-referenced evidentiary document(s) and certifies that, to the best of his knowledge and belief, title is in the Assignee, and further declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true. The assignee hereby revokes any previous powers of attorney and appoints the following to prosecute this application/maintain this patent and transact all business in the patent and Trademark Office connected therewith:

Name
C. Steven McDaniel

Reg. No.
33,962

Attorney Docket No.: LXGN-00101

PATENT

Please direct all communications to: McDaniel & Associates, P.C., P.O. Box 2244, Austin, Texas 78768-2244, Tel. No.: 512.472.8282, Fax No. 512.472.8181, to the attention of: C. Steven McDaniel.

ASSIGNEE

LEXICON GENETICS INCORPORATED

Date: _____

By: _____

Printed Name: Lance Ishimoto

Title: Vice President - Intellectual Property

0933056-082001

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))-- SMALL BUSINESS CONCERN

Docket Number: LXGN-00101

Applicant, Patentee, or Identifier: Person
Application or Patent No.: Not Yet Assigned
Filed or Issued: August 20, 2001
Title: Creation of a Unique Sequence File

I hereby state that I am

- ☐ the owner of the small business concern identified below.
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN Lexicon Genetics Incorporated

ADDRESS OF SMALL BUSINESS CONCERN 4000 Research Forest Drive, The Woodlands, Texas 77381-4287

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small business Administration, Size Standard Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☐ No such person, concern or organization exists.
☒ Each such person, concern or organization is listed below:

Lexicon Genetics Incorporated

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Lance Ishimoto

TITLE OF PERSON IF OTHER THAN OWNER Vice President – Intellectual Property

ADDRESS OF PERSON SIGNING 4000 Research Forest Drive, The Woodlands, 77381-4287

SIGNATURE _____ DATE _____